

Project Name	Person Responding	Recontact Completed?	Recontact Date/Time	PRR	Go Live Date	Recommended Action	SFA SSO	Security Plan	C&A	Management
Recontact required:										
Ombudsman Mgmt	Idoya Oscariz Tom Schweikert	yes	20 Aug 1400 rm 142-S	done	Jan 2001 went live	A8 firm takes over maintenance contract from Accenture end of Sep. GISRA review in May 2001 says currently scheduling first independent review. Only internal SFA people are users (but are they contractors--Iowa City and Utica)	Joyce DeMoss	according to GISRA review, has draft sec plan	according to GISRA review, received IATO and CAP. "Tested at implementation." Hasn't had an I. RA, but says did initial RA in dev/acq phase and cert testing in implem. phase, and has RA results/reports.	Ombudsman
IFAP/Schools Portal Mgmt	Idoya Oscariz Tom Schweikert	yes	20 Aug 1400 rm 142-S	done	Mar 2001 went live	info website only for fin aid counselors and school admins, in VDC	Colleen Kennedy	in Accenture's op maint contract they <i>aren't</i> responsible for sec plan	GISRA review says operating on IATO	Schools Channel
SFA to Internet	Gene Murphy	yes	14 Aug ad hoc	Aug 30 2001	Labor Day weekend 2001	review sec plan; perform RA; (replacing TIVWAN)	Yolanda Brooks	NCS Pearson (Brad Burnett) has prepared one		Keith Wilson
eCampus Based System	Dale Duncan	yes	10 Aug rm 115 0800	Sep 4 2001	Sep 5 2001	Has \$100k funded; review sec plan; moving Campus Based from TIVWAN to Internet	Smitty	Accenture (Steve Jarboe) writing one based on Campus Based and FMS sec plans		Milton Thomas, Sys Owner; Kay Jacks DAA
HR Modernization	Scott Bone	yes	20 Aug 1000 rm 114	none scheduled	pilot Sept 27 2001		Accenture Scott Bone	none planned--use Jamcracker's?	none planned, Woods said don't need PRR	HR Dept (Calvin Thomas) under Greg Woods
TO77.3.1 eServicing	Aydin Akbora	yes	17 Aug rm 114 1000	early Nov 2001	Nov 9 -15 2001	do C&A as mod to DLS	DL	could mod DLS sec plan		
FAFSA Online 6.0	Jose J. Alvarez	yes	17 Aug 1130 reschedule from 13 Aug 1500 con call	end Dec 2001	Jan 01 2002	really update CPS security plan and do C&A	via CPS SSO	previous versions of FAFSA allegedly part of CPS sec plan		Jeanne Saunders Sys Owner; Jennifer Douglas DAA
EAI Core Architecture	Debra Elkins	yes	15 Aug 1330 rm 114		Feb 26 2002 - COD will be first system to use	assign SFA sec responsibility; do C&A	none	no one assigned to do		CIO is DAA
SFA FP Data Mart	Nancy Krecklow	yes	14 Aug 1300 rm 114	done	May 29 2001 went live; 2nd release starts Oct 2001 and goes live Feb/Mar 2002	ensure risks in IATO are corrected and review sec plan; conduct independent review after 2nd release (adds data)	Willie Sutton	Accenture (Nancy) wrote based on FMS sec plan; will update with user group authority in next few weeks	Didn't do full C&A (no independent review) but received IATO with risks identified by Accenture	FP Deputy GM is DAA
SFA University LMS	Laura E. Maniccia	yes	15 Aug 1200 rm 114	unknown until funded	IRB on 9 Sep 2001, 5 months after funding is received	add sec funding for 9 Sep IRB	unknown until funded	unknown until funded	unknown until funded	SFA Unversity
Direct Loan Servicing - eCRM	Heather Stevens John Coleman	yes	22 Aug 0900 rm 114	Apr 2002	Apr 26 2002		Schonda Piper	existing DLS sec plan		DLS Dan Hayward, Scott Smith does on-site monitoring of program
SFA FMS	Jeff Ross	yes	14 Aug 1100 rm 114	frequent releases, next PRR probably in early Sept 2001	iterative releases started Oct 2000; next releases are Sep 2001, Oct 2001 and Feb 2002	will do Oracle 11i upgrade after Feb 2002; recommend C&A for Oracle upgrade	Shirley Singleton	existing FMS sec plan		SFA CFO is DAA
Reusable Common Services	Alex LeFur	n/a	n/a							

COD	Frank Southland	n/a	n/a	mid Feb 2002	26 Feb 2002					
-----	-----------------	-----	-----	--------------	-------------	--	--	--	--	--

C&A req'd	Risk Assess	CAP	SSP	IATO Doc	Notes from Original Survey
Y	Y	Y	Y	Y	Need to assign security responsibility.
Y	Y	Y	Y	Y	Need to assign security responsibility.
Y	Y	Y	Y	Y	
Y	?	?	?	?	Early in SDLC. Need to assign responsibility for C&A
					No C&A documentation. Andy Boots is not DAA.
Y	?	?	mod	?	Requires mod of current DL security plan
Y	Y	Y	Y	Y	Need to assign security responsibility. Assumed VDC or CPS had proper docs which was untrue.
Y	?	?	?	?	Need to assign security responsibility. Will require funding. Lower cost due to lack of direct user access.
				Y	All security issues reported complete. No IATO docs on file.
Y	Y	Y	Y	Y	Need to assign security responsibility.
Y	Y	Y	Y	Y	Need to assign security responsibility.
				Y	All security issues reported complete. No IATO docs on file.
?					May not need C&A as these are components, not stand alone system or major app. No current risk.

Y	Y	Y	Y	Y	
---	---	---	---	---	--

## Security Needs for Mod Partner Projects

Project Name	Person Responding	Recontact Completed?	PRR	Go Live Date	Recommended Action
eCampus Based System	Dale Duncan	yes	4 Sep 2001	5 Sep 2001	Start Independent Risk Assessment before PRR as per SSO decision. Recommend Independent RA is a paper review of Accenture-created security plan. Has \$100k funding.
SFA to Internet	Gene Murphy	yes	31 Aug 2001	Labor Day weekend	Contact SSO for decision on Risk Assessment before PRR. Recommend Independent RA at least include review of NCS Pearson security plan.
SFA FMS	Jeff Ross	yes	frequent releases, next PRR probably in early Sept 2001	iterative releases started Oct 2000; next releases are Sep 2001, Oct 2001 and Feb 2002	Recommend Independent Risk Assessment as part of C&A of Oracle 11i upgrade. Upgrade slated to begin after last release in Feb 2002; completion date unknown.
SFA FP Data Mart	Nancy Krecklow	yes	done	29 May 01 went live; 2nd release starts Oct 2001 and goes live Feb/Mar 2002	Ensure risks from IATO are corrected and review security plan. Recommend Independent Risk Assessment for 2nd release in Feb/Mar 2002.
EAI Core Architecture	Debra Elkins	yes		26 Feb 2002 - COD will be first system to use	Get SFA to assign security responsibility to VDC or to CIO separately. Accordingly, update VDC security plan or create new EAI security plan and conduct Independent Risk Assessment.
SFA University LMS	Laura E. Maniccia	yes	unknown	IRB on Sep 9 2001, go live 5 months after funding is received	Recommend funding for security be included in 9 Sep 2001 IRB.
TO77.3.1 eServicing	Aydin Akbora	yes	early Nov 2001	Nov 9 -15 2001	Recommend Independent Risk Assessment. Create new security plan or update appropriate DL security plan.
FAFSA Online 6.0	Jose J. Alvarez	yes	end Dec 2001	Jan 01 2002	Recommend Independent Risk Assessment and update to CPS security plan which has never had FAFSA added to it.
HR Modernization	Scott Bone	yes	none scheduled	Sept 27 2001 pilot	Recommend Independent Risk Assessment, creation of security plan based on review of Jamcracker security plan, and scheduling of a PRR to present security findings. Also recommend an SFA SSO be assigned as soon as the SFA HR Dept has the personnel.

## Security Needs for Mod Partner Projects

IFAP/Schools Portal Mgmt	Idoya Oscariz, Tom Schweikert	yes	done	Mar 2001 went live	Recommend independent review of website security for this information-only website.
Ombudsman Mgmt	Idoya Oscariz, Tom Schweikert	yes	done	Jan 2001 went live	Recommend Independent Risk Assessment due to Privacy Act information contained within this CRM system. Must confirm that they are already scheduling one as stated in their GISRA review.
Direct Loan Servicing - eCRM	Heather Stevens John Coleman	yes	early Apr 2002	Apr 26 2002	Recommend Independent Risk Assessment and update of DLS security plan.
Reusable Common Services	Alex LeFur	doesn't require C&A			
COD	Frank Southland	C&A in progress for 26 Feb 2002 live date			

## Security Needs for Mod Partner Projects

Project Name	PRR	Go Live Date	Recommended Action
In Production			
Ombudsman Management	done	Jan 2001 went live	Recommend Independent Risk Assessment due to Privacy Act information contained within this CRM system. Must confirm one is already scheduled as stated in their GISRA review.
IFAP/Schools Portal Management	done	Mar 2001 went live	Recommend independent review of website security for this information-only website.
Most Immediate Need			
SFA to Internet	Aug 30 2001	Labor Day weekend 2001	Independent review of NCS Pearson security plan has begun. SSO decided paper Risk Assessment will suffice for PRR. SFA system owner plans to budget for full Risk Assessment in FY'02.
eCampus Based System	Sep 4 2001	Sep 5 2001	Start Independent Risk Assessment before PRR as per SSO decision. SFA COTR is facilitating the selection of the contractor. Has security funding.
HR Modernization	none scheduled	Sep 27 2001 pilot	Recommend Independent Risk Assessment, creation of security plan based on review of Jamcracker security plan, and scheduling of a PRR to present security findings. Also recommend an SFA SSO be assigned as soon as the SFA HR Dept has the personnel.
eServicing/EBPP	early Nov 2001	Nov 9 -15 2001	Recommend Independent Risk Assessment. Create new security plan or update appropriate DL security plan.
Less Immediate Need			
EAI	Feb 2002	Feb 26 2002 (COD will be first system to use)	Recommend Independent Risk Assessment for both EAI task orders (Core Architecture and Operations). Also need to get SFA to assign security responsibility to VDC or to CIO separately. Accordingly, update VDC security plan or create new EAI security plan.
COD	Feb 2002	Feb 26 2002	No new actions. C&A already started.
SFA FP Data Mart	done	May 29 2001 went live; 2nd release starts Oct 2001 and goes live Feb/Mar 2002	Recommend Independent Risk Assessment for 2nd release in Feb/Mar 2002. Ensure risks from May 2001 IATO are corrected and security plan is reviewed.
SFA FMS	frequent releases, next PRR probably in early Sep 2001	iterative releases started Oct 2000; next releases are Sep 2001, Oct 2001 and Feb 2002	Recommend Independent Risk Assessment for Oracle 11i upgrade. Upgrade slated to begin after last release in Feb 2002; completion date unknown.
SFA University LMS	unknown	IRB on Sep 9 2001, goes live 5 months after funding is received	Recommend funding for security be included in Sep 9 2001 IRB. Will use Jamcracker, so may be able to leverage work done for HR Modernization.

## Security Needs for Mod Partner Projects

Direct Loan Servicing - eCRM	early Apr 2002	Apr 26 2002	Recommend Independent Risk Assessment and update of DLS security plan.
------------------------------	----------------	-------------	--